

MEMORANDUM

TO : Dealers & Remisiers

FROM : The Management

DATE : 3 January 2017

RE : **INFORMATION UPDATE REQUEST**

Securities Commission Malaysia is currently requesting all licensed representatives in the Capital Markets Services industry to update their details via Electronic Licensing Application (**ELA**) System.

Pursuant to section 78(1) of the Capital Markets and Services Act 2007 and paragraph 6.09(4) of the Licensing Handbook, licensed persons must notify the SC of change of the pertinent particulars within 14 days from the date of occurrence of the event, including, but not limited to, one of the following:

(a) Contact details -

- i) correspondence address,
- ii) phone number,
- iii) fax number,
- iv) mobile contact,
- v) e-mail address, etc.

It is noticed that certain licensed persons did not notify the SC of change to their personal particulars and contact information. The incorrect contact details have hindered effective communication between the SC and licensed persons.

In view of the above, it is requested that you update and validate the particulars of your details as at 31 December 2016 to Licensing Unit, CCD by **17 January 2017**. If we do not receive any notification of any changes from you by the deadline, the information given at the Anniversary Reporting for year 2016 would be treated as correct.

Kindly contact Abdullah Sahani (Man) ext 9212 for more information on the updating form and procedures.

Thank you.



Jonathan Ooi